

of more holistic approaches to history within world history and big history will make it easier to see that there really is no fundamental gulf between history and the sciences, and that history can define its domain of study and fundamental rules of change with as much precision as any other scholarly discipline.

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¹ See David Christian, *Maps of Time: An Introduction to Big History* (University of California Press, 2004); and “World History in Context,” *Journal of World History* 14 (2003): 437-458. On “big history,” see Marnie Hughes-Warrington, “Big History” in *Historically Speaking: The Bulletin of the Historical Society* (November 2002). The discussion below was prompted in part by writing “History in the Landscapes of Modern Knowledge,” a review essay on John Lewis Gaddis, *The Landscape of History: How Historians Map the Past* (Oxford University Press, 2002), which revisits two 20th-century classics on historiography: E.H. Carr, *What is History?* (Penguin, 1964 [first published in 1961]) and Marc Bloch, *The Historian’s Craft*, trans. Peter Putnam (Manchester University Press, 1992 [first published in 1953]) and appears in

History and Theory 43 (2004): 360-371.

² The impact on historiography of the “Heroic Model of Science” is described well in Joyce Appleby, Lynn Hunt, and Margaret Jacob, *Telling the Truth about History* (Norton, 1995), particularly chs. 1, 2, and 5.

³ Cited in Peter Novick, *That Noble Dream: The “Objectivity Question” and the American Historical Profession* (Cambridge University Press, 1988), 37-38.

⁴ R.G. Collingwood, *The Idea of History*, rev. ed., with an introduction by Jan Van Der Dussen (Oxford University Press, 1993).

⁵ C.P. Snow, “The Two Cultures and the Scientific Revolution,” in C.P. Snow, *Public Affairs* (Macmillan, 1971), 23.

⁶ See William H. McNeill, “History and the Scientific Worldview,” *History and Theory* 37 (1998): 1-13 and “Passing Strange: The Convergence of Evolutionary Science with Scientific History,” *History and Theory* 40 (2001): 1-15.

⁷ The metaphor of science as a sort of mapping is explored in John Ziman, *Reliable Knowledge: An Exploration of the Grounds for Belief in Science* (Cambridge University Press, 1978), ch. 4, “World Maps and Pictures.” On page 78 he cites Michael Polanyi, *Personal Knowledge* (Routledge & Keegan Paul, 1958), 4.

⁸ E.O. Wilson, *Consilience: The Unity of Knowledge* (Abacus, 1998).

⁹ A much more sophisticated attempt to extend Darwinian paradigms to human history can be found in Peter J. Richerson and Robert Boyd, *Not by Genes Alone: How Culture Transformed Human Evolution* (University of Chicago Press, 2004).

¹⁰ Kuhn described the notion of a paradigm most influentially in Thomas S. Kuhn, *The Structure of Scientific Revolutions*, 2nd ed. (University of Chicago Press, 1970). The profound impact of Kuhn’s ideas on historical thought is described well in Appleby, Hunt, and Jacob, *Telling the Truth about History*, 163-66 and Peter Novick, *That Noble Dream*, 526-535.

¹¹ The metaphor of a human web has been explored with great elegance in John R. McNeill and William H. McNeill, *The Human Web: A Bird’s-Eye View of World History* (Norton, 2003); see also Donald A. Yerxa, “An Interview with J.R. McNeill and William H. McNeill,” in *Historically Speaking: The Bulletin of the Historical Society* (November 2002).

¹² Some of the hypotheses described below are explored in Christian, *Maps of Time: An Introduction to Big History*, chpts. 7-14.

¹³ Hayden White argues that the deep resistance of many historians to “scientific explanations” is a matter of aesthetics as much as epistemology; see *Metahistory: The Historical Imagination in Nineteenth-Century Europe* (John Hopkins University Press, 1975), 19-21.

FOLLOW THE ENERGY: THE RELEVANCE OF COSMIC EVOLUTION FOR HUMAN HISTORY

Eric J. Chaisson

Planetologists now searching for microbial life on Mars know well to “follow the water,” and anthropologists studying the behavior of modern men and women on Earth are often said to “follow the money.” Likewise, “big historians” seeking a unified view of life and civilization in the universe writ large would do well to “follow the energy.” Energy, the ability to do work, is a powerful concept upon which to build an all-inclusive, interdisciplinary, historical, yet quantitative narrative extending from Big Bang to humankind.

Emerging now from modern science is a unified scenario of the cosmos, including our-

selves as sentient beings, based on the time-honored concept of change. Change does seem to be universal and ubiquitous, much as the ancient Greek philosopher Heraclitus declared long ago. Twenty-five centuries later, evidence for change abounds, some of it obvious, other subtle. From galaxies to snowflakes, from stars and planets to life itself, we are weaving an intricate pattern penetrating the fabric of all the natural sciences—a sweepingly inclusive view of the order and structure of every known class of object in our richly endowed universe.

Cosmic evolution is the study of the sum total of the many varied developmental and

generational changes in the assembly and composition of radiation, matter, and life throughout all space and across all time. These physical, biological, and cultural changes have produced, in turn, our galaxy, our sun, our Earth, and ourselves. The result is a grand evolutionary synthesis bridging a wide variety of scientific specialties—physics, astronomy, geology, chemistry, biology, and anthropology, among others—a genuine narrative of epic proportions extending from the beginning of time to the present, from Big Bang to humankind, from formless simplicity to organized complexity. This is truly “big history” writ large, yet history that

goes beyond mere words, indeed natural history that can be scientifically quantified.

Even so, questions remain: How valid are the apparent continuities among nature's many specialized, historical epochs, and how realistic is this quest for unity? Can we reconcile the observed constructiveness of cosmic evolution with the inherent destructiveness of thermodynamics? Is there an underlying principle, a unifying law, or perhaps an ongoing process that creates, orders, and maintains increasingly complex structures in the universe, enabling us to study everything on uniform, common ground—"on the same page," so to speak?

Recent research, guided by notions of unity and symmetry and bolstered by vast new databases, suggests affirmative answers to some of these queries. Islands of ordered complexity—namely, open systems such as galaxies, stars, planets, and life forms—are more than balanced by great seas of increasing disorder elsewhere in the environments beyond those systems. All can be shown to be in quantitative agreement with the principles of thermodynamics, especially non-equilibrium thermodynamics. Furthermore, flows of energy engendered largely by the expanding cosmos do seem to be as universal a process in the origin of structured systems as anything yet found in nature. The optimization of such energy flows might well act as the motor of evolution broadly conceived, thereby affecting all of physical, biological, and cultural evolution.

Rising Energy Flows

Complexity, like its allied words *time* and *emergence*, is a term easily spoken yet hardly defined. Although used liberally throughout today's scientific community, complexity eludes our ability to characterize it or to measure it, let alone to specify its true meaning. Complexity: "a state of intricacy, complication, variety, or involvement, as in the interconnected parts of a system—a quality of having many interacting, different compo-

nents." But what does that mean, scientifically? And can we quantify it?

Researchers from many disciplines are now grappling with the term *complexity*. Yet their view is often restricted to their own specialties. Indeed, few can agree on either a qualitative or quantitative use of the term. Some, for example, aspire to model biological complexity in terms of non-junk genome size; others prefer morphology and flexibility of behavior; still others cite numbers of cell

eled, for machines, cities, economies, and the like are uniformly described, at least in part, by energy flow.

All complex structures are subject to the rules of thermodynamics—not the kind of equilibrium thermostatics governing isolated, idealized systems that most of us studied in formal schooling, but rather the non-equilibrium thermodynamics of open, complex systems at the frontiers of science today. Resources flow in, wastes flow out, system entropy actually decreases locally (still obeying thermodynamics' cherished second law, which demands that environmental entropy increase globally), and above all else energy orchestrates changes throughout.

But it's not just energy. It can't be, for the most primitive weed in the backyard is surely more complex than the most intricate nebula in the Milky Way. Yet stars have much more energy than any life form and the larger galaxies still more. Our complexity metric cannot merely be energy or even just energy flow. That energy flow must be normalized to open systems' bulk, thereby putting all such systems on the same page. And when that's done, we find a real and impressive trend—one of increasing energy per time per mass for all ordered systems across more than 10 billion years of natural history.

Figure 1 shows how such an "energy rate density," symbolized by Φ_m , is a useful way to characterize, indeed to quantify, the complexity of a system—any system, physical, biological, or cultural. Energy—again, the ability to do work—is the most universal currency known in the natural sciences. And in an expanding, non-equilibrated universe, it is free energy that drives order from disorder, in time eventually aiding the emergence of all structures and organizations. No new science is needed.

Cosmos, Life, and Civilization

First, consider stars and their progressive changes. Stars surely grow in complexity as

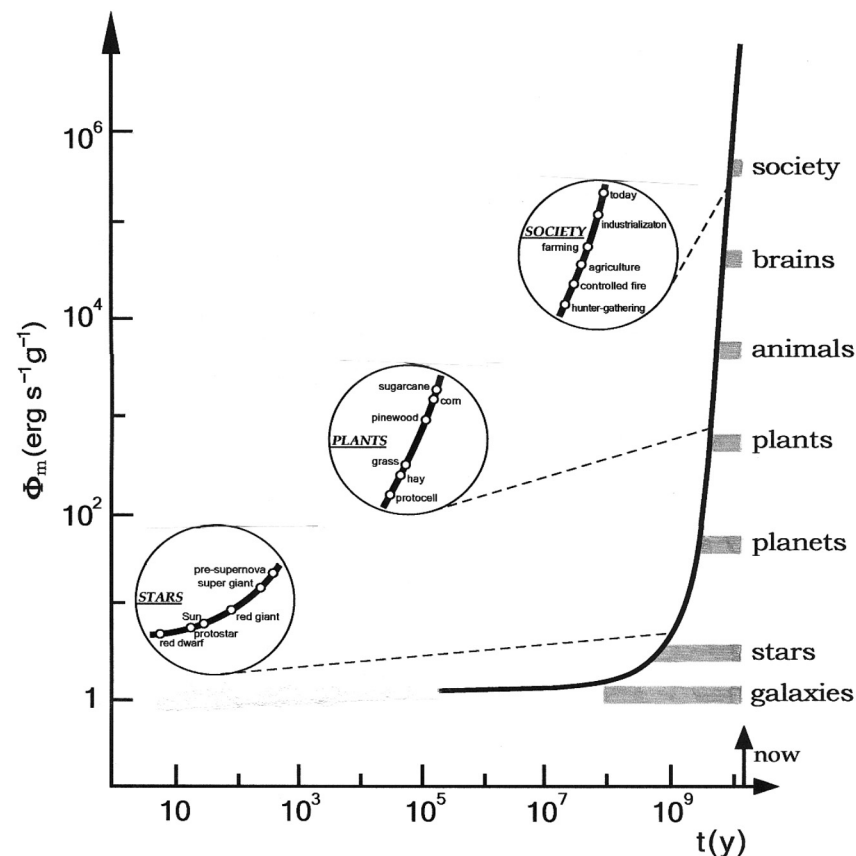


Figure 1. The rise of free energy rate density, Φ_m , plotted as histograms starting at those times when various open structures emerged in nature. Circled insets show greater detail of further measurements or calculations of further measurements or calculations of Φ_m for three representative systems—stars, plants, and society—typifying physical, biological, and cultural evolution, respectively.

types, or even physical sizes of organisms. However, few of these attributes move beyond mere words, fewer still serve to measure complexity broadly.

Energy—especially energy flow—is a more useful metric for quantifying complexity on all scales. From galaxies to stars to planets to life, the rise of complexity over the course of all time can be reasonably quantified by the normalized flow of energy. Physical systems are well-modeled by their energy budgets; but so are biological systems, now that science has gone beyond the *élan vital* or peculiar "life force" that once plagued biology; cultural systems, too, can be so mod-

their thermal and elemental gradients steepen with time; more data are needed to describe stars as they age. Normalized energy flows increase from protostars at “birth” ($F_m \sim 0.5$ erg/s/g), to main-sequence stars at “maturity” (~ 2), to red giants near “death” (~ 100). These values are essentially light-to-mass ratios, converting gravitational potential energy into luminosity rates and then normalizing by the mass of the system; the present-day sun, for example, has 4×10^{33} erg/s and 2×10^{33} g, whereas a typical red-giant star (with increased internally-ordered thermal and elemental gradients) has an order-of-magnitude higher luminosity for the same mass, hence a larger value of F_m . On and on, the nuclear cycles churn; build up, break down, change—a kind of stellar evolution minus any genes, inheritance, or overt function, for these are the value-added qualities of biological evolution that go well beyond the evolution of physical systems.

Second, consider plants among animals. With few exceptions, rising complexity is evident throughout the biosphere, especially if modeled by energy-flow diagnostics. Life forms process more energy per unit mass ($F_m \sim 10^{3-5}$ erg/s/g) than does any star, and increasingly so as biological evolution proceeds. These values are specific metabolic rates, again normalizing incoming energy to system mass: plants, for example, need 17 KJ for each gram of photosynthesizing biomass and they get it from the sun (only 0.1% of whose radiant energy reaches Earth’s surface), thus for a biosphere of 10^{18} g, $F_m \sim 10^3$ erg/s/g; more ordered 70-kg humans take in typically 2800 kcal/day and thus have a considerably higher value of $F_m \sim 10^4$ erg/s/g; in

turn, human brains require ~ 20 W/day for proper functioning of their ~ 1300 -g cranium, so F_m is yet higher, $\sim 10^5$ erg/s/g. Onward across the bush of life—cells, tissues, organs, organisms—we find much the same story. Starting with life’s precursor molecules and proceeding all the way up to plants, animals, and brains, the same *general* trend typifies life forms as for inanimate galaxies, stars, or planets: the greater the complexity of a system, the greater the flow of energy density through that system—either to build it or to maintain it, and often both.

Third, consider society and its cultural evolution. Once again, we can trace social progress, in terms of normalized energy consumption, for a variety of human-related advances among our hominid ancestors. Quantitatively, that same energy rate density increases from hunter-gatherers of a million years ago ($F_m \sim 10^4$ erg/s/g), to agriculturists of several thousand years ago ($\sim 10^5$), to industrialists of contemporary times ($\sim 10^6$). Again, an array of energy-per-unit-mass values can be used to track ancestral evolution, a highly averaged value of which derives from ~ 6 billion inhabitants using ~ 18 TW of energy to keep our technological civilization fueled and operating; thus F_m nears 10^6 erg/s/g today and sometimes exceeds that value for specialized energy needs. And here, along the path to civilization, as well as among the bricks and chips we’ve built, energy is a key driver. Energy rate density continues rising with the increasing complexity of today’s gadget-rich society.

Relevance for Human History

Energy—the core of a modern, historical

worldview—should not be overlooked while seeking a broad, quantifiable metric for big history. Whether acquired, stored, and/or expressed, energy has the advantage of being defined, intuitive, and measurable. Normalized energy flow also aids in unifying the sciences—namely, to diagnose aspects of physical, biological, and cultural systems in a uniform manner, rather than fragmenting them further. More than any other single factor, energy flow would seem to be a principal means whereby all of nature’s diverse systems have naturally spawned rising complexity in an expanding universe.

The imposing hierarchy of our cosmic-evolutionary scenario—from myriad stars to earthly life—provides an underlying, uniform, quantitative basis upon which to justify the emergence and development of contemporary civilization. By following the energy over more than 10 billion years of history, we appreciate how increasingly complex systems came to use energy more efficiently in the past. Effective energy use will also likely determine humankind’s fate in the future.

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WHAT DRIVES HUMAN HISTORY? A VIEW FROM BIG HISTORY

Fred Spier

Big history places human history within the context of the history of life, the Earth, the solar system, and the universe as a whole. By looking at human history from this grand perspective, patterns emerge that otherwise would remain hidden. Big history is about the emergence and decay

of complexity. In order for complexity to form, a certain amount of energy needs to flow through matter. This is just as true for galaxies and stars as for you and me. The general rule is that if the energy flows are too small, complexity will not form, and if they are too big, it will fall apart. The history of

life on Earth can be summarized as a quest for sufficient energy to survive and, if possible, reproduce. Human beings have distinguished themselves from other life forms by succeeding in handling ever larger matter and energy flows with the aid of learned behavior; in other words, by using culture.